



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ravishankar Rao

Docket No.: YOR920030552US1

Serial No.: 10/723,740

Group Art Unit: Unassigned

Filed: November 26, 2003

Examiner:

For: SYSTEM AND METHOD FOR ALARM GENERATION BASED ON THE
DETECTION OF THE PRESENCE OF A PERSON

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Sir:

For insuring compliance with the applicant's duty of disclosure under 37 CFR §1.56, the undersigned hereby submits the documents listed on the attached Form PTO-1449 for consideration by the Examiner in charge of the above-identified patent application.

The listing of these materials is not to be construed as an admission that such is actually prior art with respect to the invention of the present application and is not to be construed that such is material with respect to the present invention.

These documents are being submitted (check only one of the next four boxes):

- ☐ within three months of the filing of the above U.S. national application or of the date of entry of the U.S. national stage in an International Patent Application (no fee is due);
- ☒ before receiving a first Office Action on the merits of the above-identified patent application (no fee is due);
- ☐ following receipt of a first Office Action, but before issuance of a Final Office Action or a Notice of Allowance (if this Box is checked, the fee box and one of the last two boxes also must be checked);

OR

- ☐ following receipt of a Notice of Allowance or a Final Office Action (if this box is checked, the next box and one of the last two boxes also must be checked).

FEE

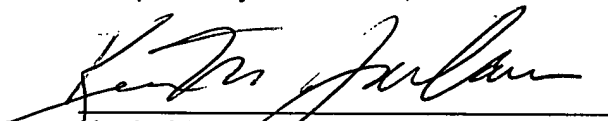
- ☐ Charge our Deposit Account No. 09-0468 the amount of \$180.00, in payment of the fee set forth in 37 CFR § 1.17(p).

CERTIFICATION

- ☐ The undersigned certifies that each item of this information is being submitted within three months of the date it was first cited in any communication from a foreign patent office in a counterpart foreign application.
- ☐ The undersigned certifies that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this information disclosure statement.

The Director is hereby authorized to charge payment of any deficiency in the above fee(s) or to charge any additional fees required under 37 CFR § 1.16 or 1.17 or credit any overpayment to Deposit Account No. 09-0468. A duplicate copy of this authorization is attached for the Finance Branch.

Respectfully submitted,


Kevin M. Jordan, Esq.
(Reg. No. 40,277)

IBM Corp. IP Law Dept.
P.O. Box 218
Yorktown Heights, NY 10598
Phone No. 914-945-2144

Date: March 2, 2004



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Ravishankar Rao

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CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:

1. Acknowledgment Post Card;
2. Information Disclosure Statement Transmittal Letter;
3. PTO Form 1449; and
4. Copy of References AH, AI, AJ, AK and AL

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

on March 2, 2004.

Jeanne M. Jordan

(Type or print name of person mailing paper)

Jeanne M. Jordan

(Signature of person mailing paper)

FORM PTO-1449 (Modified)

ATTY. DOCKET NO.

SERIAL NO.:

YOR920030552US1

10/723,740

LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

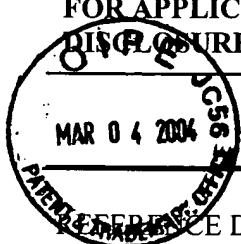
APPLICANT:

Ravishankar Rao

FILING DATE:

November 26, 2003

GROUP:



EXAMINER'S REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
	AA	4,654,703	3/31/1987	Viera			
	AB	5,164,827	11/17/1992	Paff			
	AC	6,154,852	11/28/2000	Amundson			
	AD	6,344,938	2/5/2002	Smith			
	AE	6,509,926	1/21/2003	Mills			
	AF	6,163,250	12/19/2000	Moskowitz			

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

	AH	A. Hampapur, et al., "Face Cataloger: Multi-Scale Imaging for Relating Identify to Location," IEEE Conference on Advanced Video and Signal Based Surveillance (AVSS'03), July 21-22, 2003, Miami, Florida.
	AI	A. Pentland and T. Choudhury, "Face Recognition for Smart Environments," IEEE Computer, February 2000, pp. 50-55.
	AJ	L. Wiskott, et al., "Face Recognition by Elastic Bunch Graph Matching," IEEE Transactions on Pattern Analysis and Machine Intelligence, July 1997, pp. 775-779.
	AK	A. Senior, "Face and Feature Finding for a Face Recognition System," In Proceedings of the Second International Conference on Audio and Video-Based Biometric Person Authentication, pp. 154-159, Washington D.C., March 1999.
	AL	D. Penrose, "From the Oil Field to Your Home, The Geo-Mite's Sensitivity Can't Be Beat" Circuit Cellar, Issue 128, March 2001, pp. 40-45.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.